

**For Commission  
use only**

data entry \_\_\_\_\_

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**STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES**

**Mail: 135 State House Station, Augusta, Maine 04333-0135**

**Office: 242 State Street, Augusta, Maine**

**Tel: (207) 287-4179 Fax: (207) 287-6775**

**Website: www.maine.gov/ethics**

**Electronic Filing: www.maine campaign finance.com**

**2006 CAMPAIGN FINANCE REPORT  
PRIVATELY FINANCED CANDIDATES FOR GOVERNOR,  
LEGISLATIVE AND COUNTY OFFICE**

(Please Complete ALL Entries)

Name of CANDIDATE PHILLIP MORRIS NAPIER

Mailing address P.O. BOX 1923

City, Zip Code Portland ME 04104

Telephone number (207)892-7326 Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Office Sought GOVERNOR District Number 0

**CHECK IF CHANGED  
SINCE PREVIOUS  
REPORT**

Name of TREASURER PHILLIP MORRIS NAPIER

Mailing address P.O. BOX 1923

City, Zip Code PORTLAND ME 04104

Telephone number (207)892-7326 Fax \_\_\_\_\_ E-mail \_\_\_\_\_

**CHECK IF CHANGED  
SINCE PREVIOUS  
REPORT**

<u>Type of Report</u>	<u>Due Date</u>	<u>Dates of Report Period</u>
6-DAY PRE-GENERAL - GOVERNOR	11/1/2006	9/20/2006 - 10/26/2006

☐ **Amendment to:** \_\_\_\_\_

☐ **Other (specify):** \_\_\_\_\_

☐ **Check if campaign had no activity for the reporting period (no other pages are required)**

**I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT AND COMPLETE.**

SIGNATURE ON FILE

11/1/2006

SIGNATURE ON FILE

11/1/2006

Treasurer's Signature

Date

Candidate's Signature

Date

DATE PRINTED: 11/1/2006

6-Day Pre-General - Governor

PHILLIP MORRIS NAPIER

(Schedule A Only)

CANDIDATE'S FULL NAME

### SCHEDULE A CASH CONTRIBUTIONS

- Itemize all cash contributions from contributors who have given you more than \$50 per election.
- Both cash and in-kind contributions count toward the \$50 threshold.
- Enter the occupation and employer for every individual contributing more than \$50 per election.
- If you have requested employment information from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For cash contributions totaling \$50 or less, please enter "total of contributions \$50 or less" and the total amount on a line on this page. Once a contributor has given you more than \$50 in an election, you must list that contributor separately.
- On the first report of the election cycle only, include the total of any surplus funds from a previous election cycle that you are transferring to your campaign.

*Total contributions from the same source may NOT exceed \$250 in any election for county and legislative office and \$500 in any election for Governor. The primary and general elections are considered separate elections.*

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP	OCCUPATION	EMPLOYER	TYPE (use Key Code)	AMOUNT

Total cash contributions (this page only) ⇒

(combined totals from all Schedule A pages must be listed on Schedule F, line 1)

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**Key Codes:**

1 = Candidate and Candidate's Spouse

2 = Other Individuals

3 = Commercial Sources (corporations, etc.)

4 = Political Action Committees

5 = Political Party Committees

6 = Other Candidates and Candidate Committees

7 = Contributors giving \$50 or less

8 = Transfer from Previous Campaign

PHILLIP MORRIS NAPIER

(Schedule A-1 Only)

CANDIDATE'S FULL NAME

### SCHEDULE A -1 IN-KIND CONTRIBUTIONS

In-kind contributions are goods and services (including facilities) that you received at no cost or at a cost less than the fair market value. They include all goods and services purchased for the campaign by the candidate or supporters if the campaign does not expect to reimburse the candidate or supporter. These contributions may come from the candidate, candidate's family, supporters, PACs, party committees, or other entities.

- Itemize all in-kind contributions from contributors who have given you contributions totaling more than \$50 per election.
- Both cash and in-kind contributions count toward the \$50 threshold.
- If you received goods and services at a discount, report the amount of the discount as the fair market value.
- Report the occupation and employer for every contributor who is an individual and who contributed more than \$50 per election.
- If you have requested employment information from the contributor and the contributor has not provided it, indicate "information requested" for the occupation and employer.
- For in-kind contributions of \$50 or less, enter "total of contributions \$50 or less" and the total amount on a line on this page. Once a contributor has given you more than \$50 in an election, you must list that contributor separately.
- Goods that you have retained from an earlier election such as signs are not in-kind contributions to your current campaign.

**Total contributions from the same source may NOT exceed \$250 in any election for county and legislative office and \$500 in any election for Governor. The primary and general elections are considered separate elections.**

DATE RECEIVED	CONTRIBUTOR (name, address, and zip code)	OCCUPATION AND EMPLOYER	DESCRIPTION (of goods, services, facilities, or discounts received)	TYPE (use key code)	VALUE (estimated fair market value)
10/1/2006	PHILLIP MORRIS NAPIER - THU  ME 00000		POSTAGE	1	0.78
10/1/2006	PHILLIP MORRIS NAPIER - THU  ME 00000		COPIES - STAPLES	1	0.44
10/24/2006	PHILLIP MORRIS NAPIER - THU  ME 00000		MILEAGE / TRAVEL 700 MILES	1	252.00

**Total in-kind contributions (this page only) ⇒**  
*(combined totals from all Schedule A-1 pages must be listed on Schedule F, line 9)*

253.22
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**Key Codes:****1 = Candidate and Candidate's Spouse****2 = Other Individuals****3 = Commercial Sources (corporations, etc.)****4 = Political Action Committees****5 = Political Party Committees****6 = Other Candidates and Candidate Committees****7 = Contributors giving \$50 or less**

PHILLIP MORRIS NAPIER

(Schedule B Only)

CANDIDATE'S FULL NAME

### SCHEDULE B EXPENDITURES

- Enter the date, payee, expenditure type, and amount for each expenditure made during the reporting period.
- For expenditure types which require a remark, enter a description of the goods and services purchased.
- For expenditures paid with non-campaign funds which are to be reimbursed, enter the information for the vendor that actually provided the goods or services. In the remarks section, enter the name of the person reimbursed and any other required remarks. Report goods and services purchased by others for which no reimbursement is made on Schedule A-1.
- Only enter expenditures that have actually been paid. Enter unpaid debts and obligations on Schedule D.

Expenditure Types Requiring <u>NO</u> Remark		Expenditure Types Which <u>REQUIRE</u> Remark	
PRT	Print media ads	SAL	Campaign workers' salaries
TVN	TV or cable ads, production costs	CNS	Campaign consultants
RAD	Radio ads, production costs	PRO	Other professional services
LIT	Campaign literature (printing and graphics)	EQP	Equipment
POS	Postage for U.S. Mail	FND	Fundraising events
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)
PHO	Phone banks, automated telephone calls	OTH	Other
FOD	Food for campaign events, volunteers		
OFF	Office rent and utilities		
WEB	Internet and e-mail		
POL	Polling and survey research		
CON	Contribution to Other Candidate, Party, Cmte		

DATE EXPENDITURE MADE	NAME OF EACH PAYEE	EXPENDITURE TYPE (use code from above)	REMARK (if the expenditure type requires a remark, describe all goods and services purchased)	AMOUNT

Total expenditures (this page only) ⇒  
(combined totals from all Schedule B pages must be listed on Schedule F, line 6)

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PHILLIP MORRIS NAPIER

(Schedule C only)

CANDIDATE'S FULL NAME

### SCHEDULE C LOANS AND LOAN REPAYMENTS

- List all new and continuing loans that were unpaid at any time during this reporting period.
- Enter loan type: C/CS for candidate & candidate's spouse; FI for Maine financial institution; and IND for individual.
- If a loan amount is forgiven, the amount forgiven must also be entered as a contribution on Schedule A.
- Loans cannot exceed \$250 in any election for county and legislative candidates or \$500 in any gubernatorial election, except loans made by the candidate, the candidate's spouse, or a financial institution in the State of Maine.

DATE OF LOAN	LENDER	LOAN TYPE	LOAN BALANCE AT BEGINNING OF PERIOD	ACTIVITY THIS PERIOD (report amount and date)					LOAN BALANCE AT END OF PERIOD (1+2)- 3 - 4
				ADDITIONAL OR NEW AMOUNT LOANED	AMOUNT REPAYED	DATE	AMOUNT FORGIVEN (Enter on Schedule A also)	DATE	
Total For Each Column ➡				Enter on Schedule F, line 2	Enter on Schedule F, line 7				Enter on Schedule F, line 11

PHILLIP MORRIS NAPIER

(Schedule D only)

CANDIDATE'S FULL NAME

**SCHEDULE D**  
**UNPAID DEBTS AND OBLIGATIONS**

- List any debts or obligations that are unpaid at the close of this period.
- If the campaign has not received a bill for goods or services or a credit card bill, contact the vendor or credit card company to obtain the amount owed. If it is impossible to verify the amount of the debt, enter an estimated amount and indicate that the amount is estimated in the purpose section.
- Report actual payments to vendors on Schedule B.

DATE OF OBLIGATION	CREDITOR'S NAME AND ADDRESS	DESCRIPTION	AMOUNT
<b>Total unpaid debts and obligations (this page only)</b> <i>(combined totals from all Schedule D pages must be listed on Schedule F, line 10)</i>			⇒

PHILLIP MORRIS NAPIER

(Schedule E only)

CANDIDATE'S FULL NAME

**SCHEDULE E**  
**CAMPAIGN EQUIPMENT/PROPERTY INVENTORY**

**PART I - ONGOING INVENTORY OF CAMPAIGN PROPERTY**

- List equipment or property that the campaign owned at the end of the reporting period and that may be converted to the candidate's personal use, such as a computer, telephone/fax, photocopier, etc.
- Do not list signs, stationery, campaign literature, and other goods which have value only to the campaign.

DATE RECEIVED OR PURCHASED	DESCRIPTION OF EQUIPMENT OR PROPERTY	PURCHASE PRICE OR ESTIMATED VALUE WHEN ACQUIRED	FAIR MARKET VALUE (at close of this reporting period)
Total estimated value of campaign property at close of this period ⇒			

**PART II – SALES OF CAMPAIGN PROPERTY THIS PERIOD**

- List all equipment or property from Part I that was sold during this reporting period.

		Column 1		Column 2
DATE SOLD, DONATED OR TRANSFERRED	NAME AND ADDRESS OF PURCHASER, DONEE, OR TRANSFEREE	DESCRIPTION OF PROPERTY	SALE PRICE (if sold this period)	FAIR MARKET VALUE OF DONATED OR TRANSFERRED GOODS
Total amounts from equipment/property sales this period ⇒			Enter on Schedule F, line 3	



PHILLIP MORRIS NAPIER

11/01/2006

CANDIDATE'S FULL NAME

Date Submitted

**SCHEDULE F  
SUMMARY SECTION  
(PRIVATELY FINANCED CANDIDATES)**

<b>CASH TRANSACTIONS</b>		
	<b>TOTAL FOR THIS PERIOD</b>	<b>TOTAL FOR CAMPAIGN</b>
<b>1. CASH CONTRIBUTIONS</b> (total of all Schedule A pages)	0.00	21.00
<b>2. LOANS</b> (Schedule C, column 2)	0.00	0.00
<b>3. SALE OF CAMPAIGN PROPERTY</b> (Schedule E, Part II, col. 1)	0.00	0.00
<b>4. OTHER CASH RECEIPTS</b> (interest, etc.)	0.00	0.00
<b>5. TOTAL RECEIPTS</b> (lines 1 + 2 + 3 + 4)	0.00	21.00
<b>6. EXPENDITURES</b> (total of all Schedule B pages)	0.00	0.00
<b>7. LOAN REPAYMENTS</b> (Schedule C, column 3)	0.00	0.00
<b>8. TOTAL PAYMENTS</b> (lines 6 + 7)	0.00	0.00

<b>OTHER ACTIVITY</b>		
<b>9. IN-KIND CONTRIBUTIONS</b> (total of all Schedule A-1 pages)	253.22	5,235.27
<b>10. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD</b> (total all Schedule D pages)	0.00	
<b>11. TOTAL LOAN BALANCE AT CLOSE OF PERIOD</b> (Schedule C, column 5)	0.00	

<b>CASH SUMMARY FOR PERIOD</b>		
<b>12. CASH BALANCE AT BEGINNING OF PERIOD</b> (Schedule F, line 15 from last report)		21.00
<b>13. PLUS TOTAL RECEIPTS THIS PERIOD</b> (line 5 above)	+	0.00
<b>14. MINUS TOTAL PAYMENTS THIS PERIOD</b> (line 8 above)	-	0.00
<b>15. CASH BALANCE AT END OF PERIOD</b> (must match bank account balance)	=	21.00